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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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| <b>TRANSMITTAL<br/>FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 10/719,736                    |         |
|  | <b>Filing Date</b>          | 11/21/2003                    |         |
|  | <b>First Named Inventor</b> | Sedlmayr                      |         |
|  | <b>Group Art Unit</b>       | 2872                          |         |
|  | <b>Examiner Name</b>        | Lee A. Fineman                |         |
| <b>Total Number of Pages in This Submission</b>  |                             | <b>Attorney Docket Number</b> | AUO1016 |

| ENCLOSURES (check all that apply)  |   |   |
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| <b>Remarks</b>   |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Law Office of Roxana H. Yang (Reg. No. 46,788) |
| Signature                                  |  |
| Date                                       | September 14, 2004                             |

| CERTIFICATE OF MAILING/FACSIMILE  |                |      |                    |
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Express Mail No.: EV 506741684 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Sedlmayr

Serial No.: 10/719,736

Group Art Unit: 2872

Filed: November 21, 2003

Examiner: Lee Fineman

For: HIGH EFFICIENCY ELECTROMAGNETIC BEAM PROJECTOR, AND SYSTEMS AND METHODS FOR IMPLEMENTATION THEREOF Attorney Docket No.: AUO1016

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22323-1450

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b) or within three months of the filing date of a national application; or before mailing date of first office action on the merits; whichever occurs last
- ☐ under 37 CFR 1.97(c) together with either a:
  - ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180 fee under 37 CFR 1.17(p), or  
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
  - ☐ Statement under 37 CFT 1.97 (e)(1) or (2), and
  - ☐ a \$180 fee under 37 CFR 1.17 (p)  
(filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account# 502051 the sum of : \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 502051 pursuant to 37 CFR 1.25.

- ☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware,

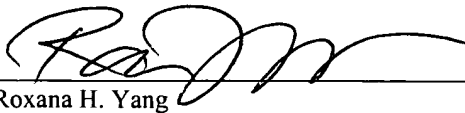
which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on Form PTO 1449 and is enclosed herewith.
- ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than a search report of a foreign counterpart application or PCT International Search Report if submitted herewith). 37 C.F.R. §§1.97(g) and (h).

Respectfully submitted,

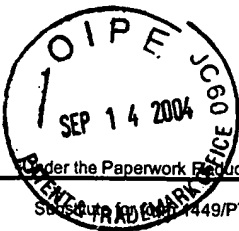
Date 9-14-04

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1

of 2

**Complete if Known**

|                        |             |
|------------------------|-------------|
| Application Number     | 10/719,736  |
| Filing Date            | 11/21/2003  |
| First Named Inventor   | Sedlmayr    |
| Art Unit               | 2872        |
| Examiner Name          | Lee Fineman |
| Attorney Docket Number | AUO1016     |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US- 4,836,649                            | 06-06-1989                     | Ledebuhr et al.                                    |   |
|                    |                       | US- 4,647,966                            | 03-03-1987                     | Phillips et al.                                    |   |
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|                    |                       | US- 4,497,015                            | 01-29-1985                     | Konno et al.                                       |   |
|                    |                       | US- 5,245,449                            | 0914-1993                      | Ooi et al.   |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | JP 61090584 A   | 05-08-1986                     | Himuro, Masami                                     |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
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Examiner  
SignatureDate  
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